

Almondz Financial Services Ltd.

Regd. Office :F-33/3,Okhla industrial Area,Phase-II, New Delhi -110020, India. Tel. : 011 43500700

Business Associate Empanelment Form

Name / Company Name _____

Date of Birth / Incorporation _____

Status _____ Individual / HUF / Partnership firm / Company

Contact Person : _____

Communication Address : _____

City : _____

State : _____ PIN : _____

Phone (Std) _____ Fax : _____

Mobile _____ Email : _____

Permanent Address : _____

City : _____

State _____ PIN : _____

Phone (Std) : _____ Fax : _____

PAN _____

Educational Qualification _____ Other Qualification : _____

AMFI Certified ARN No. _____

Bank Details _____

Account Holder Name _____ Bank _____

Bank Branch _____ Bank City _____

Bank A/C _____ Account Type _____

MICR _____ IFSC Code _____

Experience in Financial Service (Years) _____

Involvement Full Time Part Time Nature of Business _____

Client base Retail Corporate / Bank/Trust/ HNI

Agency Code with UTI LIC Post Office Mutual Fund General Insurance,

Interest in Marketing Equity IPO Fixed Deposit Bonds Mutual Funds

Life Insurance General Insurance Private Placement

The information above is correct and true to the best of my/our knowledge I/we hereby agree to abide by the terms & conditions mentioned overleaf. Proof of residence & Identity to be attached herewith.

Place _____

Date _____ Applicant's Signature _____

For Office Use Only

Business Associate Code _____ Date of Empanelment _____

Branch _____ Relationship Manager _____

Approved By _____ Authorized By _____

TERMS AND CONDITIONS FOR BUSINESS ASSOCIATES

1. Kindly put Business Associates code (Rubber Stamp) in sub-brokers column besides our code as main broker in Public Issue forms.
2. The brokerage would be paid after receipt of the same from Registrars on allotment basis against forms bearing **AFSL** code and Business Associates code as per the allotment statement received by us.
3. As a Business Associate of the company under any circumstances you are not entitled or authorized to collect any cash from any sub agents on behalf of **AFSL**
4. This business association is not transferable.
5. Business Associates would solicit business only under his /her name and shall not issue any communication orally or in writing to his investors on our behalf unless expressly agreed to by us in writing.
6. The commission structure payable to Business Associates would be decided from time to time and may vary from Scheme to scheme. The payment would be made in favour of the name registered with us.
7. Business Associates should take consistent interest in his/her agency work and mobilise funds in the various financial instruments promoted/handled by **AFSL**.
8. The performance of Business Associates will be closely monitored and those not meeting the performance criteria may be terminated at the discretion of **AFSL**.
9. **AFSL** also reserves the right to alter, amend, add, delete and modify any of the above mentioned terms and conditions.
10. **AFSL** reserves the right to terminate the appointment of Business Associates at any time without assigning any reason.
11. Change in address and telephone number ought to be notified to **AFSL** immediately.
12. Business Associates shall not have any claim against **AFSL** for any loss incurred by him/her as not anticipated and arising out of any revision in the rate of commission or change of terms and conditions of the association.

I/We hereby agree and accept all the above Terms and conditions.

Date:

Place:

Applicant's Signature

Annexures:

- For Individual & HUF - Self attested copy of Pan Card, Aadhaar Card & Cancelled Cheque
- For Non Individual - Self attested copy of Pan card, cancelled Cheque, Bank Statement for last three months, Board Resolution, Memorandum & Articles of Association, List of Authorized Signatories and their respective KYC. **All Documents Should carry the Company Seal & Signature of Authorized Signatory.**

Kolkata : 10-A,Shakespeare Sarani, Prasad Chambers, Block - B, 2nd Floor, Kolkata – 700071, Tel : 033 22820873 - 76

Mumbai : 2nd floor, 27-Sanghavi Chambers, Janmabhoomi Marg-For Mumbai - 400001, Tel No : 022-22870993

Ludhiana: SCO 16 & 17, 3rd Floor, Fortune Chambers, Opp. LSE Building, Feroze Gandhi Market, Ferozepur Road, Ludhiana

I4I00I Tel: 0161 464688I-89