

Common Application Form



A Investors must read the Key Information Memorandum, the instructions and Product Labeling on cover page before completing this Form. The Application Form should be completed in English and in **BLOCK LETTERS** only.

1 KEY PARTNER/AGENT INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN column.)

ARN	ARN / Distributor Name	Sub Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/Employee	Employee Unique Identification Number (EUIN)
ARN-					

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

First Holder

Second Holder

Third Holder

Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN Holder.

2 TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (refer Instruction B)

In case the subscription (lumpsum) amount is Rs. 10,000/- or more and your distributor has opted to receive Transaction Charges, Rs. 150/- (for the first time mutual fund investor) or Rs. 100/- (for the investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

3 EXISTING INVESTOR DETAILS (If you have existing folio, please provide Folio No. and proceed to section 11 (Refer instruction C)

Folio No.	The details in our records under the folio no. mentioned alongside will apply for this application.
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4 MODE OF HOLDING / OPERATION ☐ Single ☐ Anyone or Survivor (Default option) ☐ Joint

5 APPLICANT'S DETAILS (Please refer to the Instruction No. A, C, D, R) All fields are mandatory.

1st Holder Name as per PAN card* <input type="text"/> Mr <input type="text"/> Ms <input type="text"/> M/s		Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	
PAN/PEKRN* <input type="text"/>		Date of Birth/Incorporation (DOB) <input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	
Nationality <input type="text"/>		CKYC Number/KIN <input type="text"/> <input type="checkbox"/> Proof Attached	
GUARDIAN NAME IF MINOR/CONTACT PERSON (FOR NON INDIVIDUAL) /POA HOLDER (as per PAN card) <input type="text"/> Mr <input type="text"/> Ms		Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	
PAN/PEKRN* <input type="text"/>		Date of Birth (DOB) <input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	
Nationality <input type="text"/>		CKYC Number/KIN <input type="text"/> <input type="checkbox"/> Proof Attached	
Relationship with Minor applicant <input type="checkbox"/> Natural guardian <input type="checkbox"/> Court appointed guardian		Proof of relationship with minor <input type="text"/>	
2nd Holder Name as per PAN card* <input type="checkbox"/> Resident Individual <input type="checkbox"/> NRI (Second Applicant is not allowed in case of minor as first/sole applicant.)		Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	
PAN/PEKRN* <input type="text"/>		Date of Birth (DOB) <input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	
Nationality <input type="text"/>		CKYC Number/KIN <input type="text"/> <input type="checkbox"/> Proof Attached	
3rd Holder Name as per PAN card* <input type="checkbox"/> Resident Individual <input type="checkbox"/> NRI (Third Applicant is not allowed in case of minor as first/sole applicant.)		Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	
PAN/PEKRN* <input type="text"/>		Date of Birth (DOB) <input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	
Nationality <input type="text"/>		CKYC Number/KIN <input type="text"/> <input type="checkbox"/> Proof Attached	
POA HOLDER <input type="checkbox"/> Resident Individual <input type="checkbox"/> NRI		Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	
PAN/PEKRN* <input type="text"/>		Date of Birth (DOB) <input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	
Nationality <input type="text"/>		CKYC Number/KIN <input type="text"/> <input type="checkbox"/> Proof Attached	

*Mandatory information - If left blank, the application is liable to be rejected. Individual client who has registered under KYC Records Registry (CKYCR) can fill the 14 digit KYC Identification Number (KIN)

6 CORRESPONDENCE DETAILS OF SOLE/FIRST APPLICANT (AS PER KYC RECORDS)

Correspondence Address		Overseas Address (Mandatory for NRI / FI Applicants)	
<input type="text"/> HOUSE / FLAT NO.		<input type="text"/> HOUSE / FLAT NO.	
<input type="text"/> STREET ADDRESS		<input type="text"/> STREET ADDRESS	
<input type="text"/> CITY / TOWN	<input type="text"/> STATE	<input type="text"/> CITY / TOWN	<input type="text"/> STATE
<input type="text"/> COUNTRY	<input type="text"/> PIN CODE	<input type="text"/> COUNTRY	<input type="text"/> PIN CODE
Country Code. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		STD Code. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Tel. No. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	

Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: (please ✓ here) ☐ Account Statement ☐ Annual Report ☐ Other Statutory Information

First Unitholder:

Mobile

Mobile No.* provided pertains to: (Please tick (✓))

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings

☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email

Email ID* provided pertains to: (Please tick (✓))

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings

☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Second Unitholder:

Mobile

Mobile No.* provided pertains to: (Please tick (✓))

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings

☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email

Email ID* provided pertains to: (Please tick (✓))

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings

☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Third Unitholder:

Mobile

Mobile No.* provided pertains to: (Please tick (✓))

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings

☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email

Email ID* provided pertains to: (Please tick (✓))

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings

☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

7 TAX STATUS (Please ✓) (For First / Sole Applicant)

☐ Resident Individual ☐ LLP ☐ Public Limited Company ☐ Government Body ☐ AOP/BOI ☐ Defence Establishment

☐ On behalf of Minor ☐ Sole Proprietorship ☐ Private Limited Company ☐ Financial Institution ☐ Trust / Society / NGO ☐ Other

☐ HUF ☐ Partnership Firm ☐ Body Corporate ☐ FI ☐ Non Profit Organization/Charities

☐ NRI-NRE ☐ NRI-NRO ☐ Bank ☐ Foreign Portfolio Investor ☐ QFI

☐ PIO ☐ OCI ☐ Foreign National Resident In India

8 Unique ASL reference number:**9 KYC DETAILS (Mandatory)****OCCUPATION [Please tick (✓)]**

	Private Sector Service	Public Sector Service	Government Service	Business	Non Profit Organisation	Professional	Agriculturist	Retired	Housewife	Student	Proprietorship	Others
First Applicant/Guardian	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Please specify
Second Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Please specify
Third Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Please specify
POA Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Please specify

GROSS ANNUAL INCOME [Please tick (✓)]

First Applicant/Guardian ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore

OR Net worth (Mandatory for Non-Individuals) ₹ as on (Not older than 1 year)

Second Applicant ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore OR Net worth ₹

Third Applicant ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore OR Net worth ₹

POA Holder ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore OR Net worth ₹

OTHERS [Please tick (✓)]

First Applicant/Guardian For Individuals Please tick (✓) ☐ I am Politically Exposed Person (PEP)^ ☐ I am Related to Politically Exposed Person (RPEP) ☐ Not applicable

For Non-Individuals Please tick (✓) (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form - Refer instruction no. IV (h):

(i) Foreign Exchange / Money Changer Services ☐ Y ☐ N (ii) Gaming / Gambling / Lottery / Casino Services ☐ Y ☐ N (iii) Money Lending / Pawning ☐ Y ☐ N

Second Applicant ☐ Politically Exposed Person (PEP)^ ☐ Related to Politically Exposed Person (RPEP) ☐ Not applicable

Third Applicant ☐ Politically Exposed Person (PEP)^ ☐ Related to Politically Exposed Person (RPEP) ☐ Not applicable

POA Holder ☐ Politically Exposed Person (PEP)^ ☐ Related to Politically Exposed Person (RPEP) ☐ Not applicable

^Please refer instruction no. 3

10 DEMAT ACCOUNT DETAILS (Optional - Refer Instruction k) (Nomination Provided in Demat Account shall be considered)

NSDL	DP Name	CDSL	DP Name
NSDL: Depository Participant (DP) ID (NSDL only)	Beneficiary Account Number (NSDL only)	CDSL: Beneficiary ID (CDSL only)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	

11 BANK DETAILS (The name of the Sole/First applicant must be pre printed on the cheque.)

Mandatory information - If left blank, the application is liable to be rejected (Mandatory to attach proof, in case the pay-out bank account is different from the bank account mentioned under Section 11 Below.)

For unit holder opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here. Redemption/Dividend/Refund Payout will be credited into this bank account.

Account Number Account Type ☐ Savings ☐ Current ☐ NRO ☐ NRE ☐ FCNR ☐ Others (please specify)

Bank Name & Branch

Branch City IFSC Code MICR Code

LEI No.

With reference to the RBI circular No. RBI/2020-21/82-DPSS.CO.OD No. 901/06.24.001/2020-21 dated January 05, 2021 on introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment System, LEI will have to be mandatorily included as part of the RTGS/NEFT instructions for any non-individual transactions beyond 50 crore w.e.f 1st April 2021.

12 INVESTMENT & PAYMENT DETAILS (refer instruction F) Please write Cheque/DD in favour of the Scheme name only.

Scheme ☐ Parag Parikh Flexi Cap Fund (PPFCF) ☐ Parag Parikh Liquid Fund (PPLF) ☐ Parag Parikh ELSS Tax Saver Fund (PPTSF) ☐ Parag Parikh Conservative Hybrid Fund (PPCHF)

☐ Parag Parikh Arbitrage Fund (PPAF) ☐ Parag Parikh Dynamic Asset Allocation Fund (PPDAAF)

Plan ☐ Direct (Default plan) ☐ RegularOption ☐ Growth (Default option) ☐ Income Distribution cum capital withdrawal option (IDCW) (N/A for Parag Parikh Flexi Cap Fund (PPFCF), Parag Parikh ELSS Tax Saver Fund (PPTSF) and Parag Parikh Arbitrage Fund (PPAF))

Sub-Option ☐ Reinvestment of Income Distribution cum capital withdrawal option ☐ Payout of Income Distribution cum capital withdrawal option

☐ Daily ☐ Weekly ☐ Monthly ☐ Monthly

(Default incase of PPLF) (Applicable only for PPLF) (Default incase of (PPCHF) and (PPDAAF)) (Applicable only for PPLF, PPCHF and PPDAAF)

Mode of Payment ☐ Self ☐ Third Party Payment (please fill the Third Party Payment Declaration Form)

Payment mode ☐ Cheque ☐ DD ☐ Common CAMS OTM / PPFAS OTM ☐ Fund Transfer ☐ RTGS/NEFT ☐ Transfer Letter

DD Charges

S. No.	*Cheque / DD Favouring Scheme Name	Cheque Date	Amount Invested (₹)	DD Charges	Net Amount Paid (₹)	Cheque/DD No./UTR No. (in case of NEFT/RTGS)	Bank and Branch and Account Number
1.	Parag Parikh Flexi Cap Fund						
2.	Parag Parikh Liquid Fund						
3.	Parag Parikh ELSS Tax Saver Fund						
4.	Parag Parikh Conservative Hybrid Fund						
5.	Parag Parikh Arbitrage Fund						
6.	Parag Parikh Dynamic Asset Allocation Fund						

*All purchases are subject to realization of funds in our bank accounts w.e.f February 01, 2021

13 NOMINATION DETAILS Individuals (single or joint applicant) are advised to avail Nomination facility.

☐ Declaration Form for opting out of nomination

I/ We hereby confirm that I/We do not wish to appoint any nominee(s) for my mutual fund units held in my /our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s),my/our legal heir would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

☐ I/We wish to nominate

I/We, the unitholders of schemes of PPFAS Mutual Fund, do hereby nominate the person(s) more particularly described hereunder to receive the units held my/our folio(s) listed below in the event of my / our death in respect of the units which will be held by me/ us in the said investment




I / We have read the terms and conditions for nomination and hereby nominate the above nominee(s) to receive all the amounts to my / our credits in the event of my / our death. Signature of the nominee(s) acknowledging receipt of my / our credit will constitute full discharge of liabilities of the PPFAS Mutual Fund.

Nominee details	Nominee 1	Nominee 2	Nominee 3
Name and address of Nominee(s) [Mandatory]			
PAN of the Nominee [Guardian PAN to be quoted if Nominee is Minor - Mandatory]			
Relationship with Sole / First unit holder (Mandatory)			
Date of Birth* [Mandatory]	dd-mm-yyyy	dd-mm-yyyy	dd-mm-yyyy
Name and address of Guardian* [Mandatory if Nominee is Minor]			
Signature of Nominee / Guardian*			
Guardian's Relationship with Nominee* [attach proof]	<input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian	<input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian	<input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian
Allocation % to each nominee [Mandatory] (Aggregate should be 100%)			

* Applicable in case the Nominee is a Minor. (Also, please attach a copy of the minor's birth certificate)

Declaration & Signature(s) [to be signed by all unit holders including joint holders, irrespective of mode of holding.

I/We have read and understood the instructions on nomination and I/We hereby undertake to abide by the same. The instructions contained herein super cedes all previous nominations made by me/us in respect of the folio(s) mentioned above.

 Sole / First Holder's Signature	 Second Holder's Signature	 Third Holder's Signature
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14 FATCA and CRS Information/Foreign Tax Law (Self Certification) (Required for all applicant(s)/Guardians, Sole Proprietor & POA Holder)
For Non-Individual investor : You are required to submit separate FATCA/CRS/UBO declaration form.

It is mandatory to fill all relevant details correctly. Incorrect/incomplete details may lead to inaccurate FATF/CRS reporting

	Place/City of Birth	Country of Birth	Country of Citizenship / Nationality
First Applicant / Guardian			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others <small>Please specify _____</small>
Second Applicant			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others <small>Please specify _____</small>
Third Applicant			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others <small>Please specify _____</small>
POA Holder			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others <small>Please specify _____</small>

Are you a tax resident (i.e. are you assessed for tax) in any other country outside India? ☐ YES ☐ No (please tick ✓)

If "YES" please fill for ALL countries (other than Indian in which you are a Resident for tax purpose i.e. where you are a Citizen/ Resident/ Green Card holder/ Tax Resident in the respective countries.)

	Country of Tax Residency#	Tax Identification Number or Functional Equivalent	Identification Type* (TIN or other please specify)	Identification Type (TIN or other please specify)
First Applicant / Guardian				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Second Applicant				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Third Applicant				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
POA Holder				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

To also include USA, where the individual is a citizen/ green card holder of USA. *In case Tax Identification Number is Not available, kindly provide its functional equivalent.

☐ Reason A → The country where the Account Holder is liable to pay tax does not issue Tax Identification Number to its residents.

☐ Reason B → No TIN required (Select this reasons Only if the authorities of the country of tax residence do not require the TIN to be collected) ☐ Reason C → Others please state the reasons there of: ☐

Address Type of Sole / 1st Holder	Address Type of 2nd Holder	Address Type of 3rd Holder
<input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business	<input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business	<input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business

15 Declaration for NPO

We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).	<input type="checkbox"/> <u>Yes</u> <input type="checkbox"/> <u>No</u>
If yes, please quote the NPO Registration Number provided by DARPAN portal. <i>(If not registered already, please register immediately and confirm with the above information. In absence of receipt of the Darpan portal registration details, MF / AMC/ RTA will be required to register your entity on the said portal and/or report to the relevant authorities as applicable.)</i>	

Instructions

1. 'If the Name given in the application does not match the name as appearing on the PAN Card/Aadhaar card, authentication, application may be liable to get rejected or further transactions may be liable to get rejected'
2. I /We have understood the information requirement of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided be me/us on this Form is true, correct, and complete. I/ We also confirm that I /We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.
3. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public function in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior government/judicial/military officers, senior executive of state-owned corporations, important political party officials, etc.
4. Country of Tax Residence and Tax ID number: Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the change. If you are a US citizen or resident, please include United States in this related field along with your US Tax Identification Number.
5. As per AMFI Circular No. 135/BP/77 /2018-19, please provide email id and Mobile Number of the Primary Unit Holder of the Folio. In cases where the email address/mobile No. is not provided in the application form, the email address/mobile no. of the first applicant as per the KYC data will be taken as the email address/mobile No. The email address of one investor should not be allowed/up dated against folios of other/multiple investors, unless a specific written request is received in this regard, duly signed by the investors or the investors in such folios belong to the same family (applicable in respect of individual investors only).

"Family means self, spouse, dependent children, dependent siblings, dependent parents, and a guardian in case of a minor as per AMFI guidelines dated March 28, 2022"
6. The AMC to strengthen control with respect to verification of key details of investors like Bank account details, email id, mobile number, and address, etc and to standardize the process for Validation of Email ID, Mobile Number, Bank Mandate & Two Factor Authentication for Redemptions of Non-Demat folios. AMC shall take necessary steps to comply with the AMFI Best Practice guidelines dated March 28, 2022, and as amended from time to time. Investors are requested to provide correct Bank account details, email id, and mobile number at the time of submitting the application.

DECLARATION

I/We am/are not prohibited from accessing capital markets under any order/ruling/judgment etc. of any regulation, including SEBI. I/We confirm that my application is in compliance with applicable Indian and foreign laws. I/We hereby confirm and declare as under:-

- For Non-Individual Investor: I/We hereby confirm that the object clause of the constitution document of the entity (viz. MOA / AOA / Trust Deed, etc.), allows us to apply for investment in this scheme of PPFAS Mutual Fund and the application is being made within the limits for the same. I/We are complying with all requirements/ conditions of the entity while applying for the investments and I/We, including the entity, if the case may arise so, hereby agree to indemnify PPFAS AMC/ PPFAS Mutual Fund in case of any dispute regarding the eligibility, validity and authorization of the entity and/or the applicants who have applied on behalf of the entity.
- For NRIs only: I/We confirm that I am/we are Non Residents of Indian Nationality/Origin and that I/we have remitted funds from abroad through approved banking channels or from funds in my/our Non Resident External/Non-Resident Ordinary/FCNR account. (Refer Inst. No. F)
- Applicable to PEKRN Holders: I, the first/ sole holder, also hereby declare that I do not hold a permanent Account Number and hold only a single PAN Exempt KYC Reference No. (PEKRN) Issued by KYC Registration Authority and that my existing Investments together with the current application will not result in aggregate Investments exceeding Rs. 50,000/- in a rolling 12 months period or in a financial year.
- I have voluntarily subscribed to the online access for transacting the internet facility provided by PPFAS Asset Management Private Ltd. (Investment Manager of PPFAS Mutual Fund) and confirm of having read, understood and agree to abide the terms and conditions for availing of the internet facility more particularly mentioned on the website www.amc.ppfas.com and hereby undertake to be bound by the same. I further undertake to discharge the obligations cast on me and shall not at any time deny or repudiate the online transactions effected by me and I shall be solely liable for all the costs and consequences there of.
- I/We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of PPFAS Mutual Fund ('Fund') indicated above.
- I/We am/are eligible Investor(s) as per the scheme related documents and am/are authorised to make this investment as per the Constitutive documents/authorization(s). The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.
- The information given in/ with this application form is true and correct and further agree to furnish such other further/additional information as may be required by the PPFAS Asset Management Private Limited (AMC)/Fund and undertake to inform the AMC/Fund/Registrars and Transfer Agent (RTA) in writing about any change in the information furnished from time to time.
- That in the event, the above information and/or any part of it is/are found to be false/untrue/misleading, I/We will be liable for the consequences arising there from.
- I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Mutual Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered Intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us.
- I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.
- The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other model, payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.
- I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/ or any indicative yield by the fund/amdlt distributor for this investment.
- Preferred mode of payment Electronic Credit. RTGS IFSC/NEFT code will help us transfer the amount to your bank account quicker, electronically. In case the bank does not credit my /our bank account with / without assigning any reason thereof, or if the transaction is delayed or not effected at all or credited into the wrong account for reasons of incomplete or incorrect information, I / We would not hold PPFAS Mutual Fund responsible. Further the Mutual Fund reserves the right to issue a demand draft/ payable at par cheque in case it is not possible to make payment by DC/NEFT / ECS.
- I/We acknowledge that in case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it. I/We hereby authorize you (Fund/ AMC/RTA/other participating entities) to disclose, share, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees/ RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities/ agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated Intermediaries registered with SEBI / RBI/ IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your / Fund's end. As may be required by domestic or overseas regulators/ tax authorities, I/We authorize Fund/ AMC/RTA to withhold and pay out any sums from your account or close or suspend your account(s) without any obligation of advising me of the same.
- Consent for Telemarketing: I/We hereby accord my/our consent to PPFAS AMC for receiving the promotional information/ material via email, SMS, telemarketing calls etc. on the mobile number and email provided by me/us in this Application Form.
- For Foreign National Resident in India only: I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.
- For NRIs/PIO/OCIs only: I/We confirm that my application is in compliance with applicable Indian and foreign laws.
Please ☒ Yes ☐ No If Yes, ☐ Repatriation basis ☐ Non-repatriation basis

DECLARATION

I declare that the information is to the best of my knowledge and belief, accurate and complete.

I agree to notify PPFAS Mutual Fund/PPFAS Asset Management Private Limited immediately in the event the information in the self-certification changes.

FIRST OR SOLE APPLICANT/ GUARDIAN/POA	SECOND APPLICANT	THIRD APPLICANT



ACKNOWLEDGMENT SLIP (To be filled by the Investor)

Application No.				ISC Stamp & Signature
PPFAS MUTUAL FUND Registered Office: 81/82, 8th Floor, Sakhar Bhavan, Ramnath Goenka Marg, 230, Nariman Point, Mumbai - 400 021. Received, subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.				
From				
	Dated	Amount (RS)	Scheme	

One Time Mandate Instruction Form (OTM/NACH Form)

* Mandatory Fields

PPFAS

MUTUAL FUND

There's only one right way®

UMRN

F O R O F F I C E U S E O N L Y

Date*

Tick (✓)

CREATE

MODIFY

CANCEL

Sponsor Bank Code

HDFC099999

Utility Code

HDFC00070000003309

I/We hereby authorize

PPFAS Mutual Fund

to debit (tick✓)*

☐ SB

☐ CA

☐ CC

☐ SB-NRE

☐ SB-NRO

☐ Other

Bank a/c number

with Bank

Name of customers bank

IFSC

or MICR

an amount of Rupees

₹

FREQUENCY

☐ Monthly

☐ Quarterly

☐ Half Yearly

☐ Yearly

☒ As & when presented

DEBIT TYPE

☐ Fixed Amount

☒ Maximum Amount

Reference 1

PAN No.

Mobile No.

Reference 2

Folio No.

Email ID

I agree for the debit mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule for charges of the bank.

PERIOD

From*

To

Signature Primary Account holder

Signature of Account holder

Signature of Account holder

1. Name as in bank records

2. Name as in bank records

3. Name as in bank records

* This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instructions as agreed & signed by me.

* I have understood that I am authorised to cancel/amend this mandate by a appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorised the debit.

SIP Registration/Renewal Form (for OTM registered investors only)

Please tick ✓ as applicable

☐ OTM Debit Mandate is already registered in the folio. [No need to submit again].

☐ OTM Debit Mandate is attached and to be registered in the folio. SIP Auto debit will start after mandate registration (usually within Thirty days depending on OTM or NACH modalities).

The total of all installments in a day should be less than or equal to the amount as mentioned in One Time Mandate already registered or submitted, if not registered.

Investors must read the SID / SAI and Key Information Memorandum and the instructions before completing this Form.

The Application Form should be completed in English and in BLOCK LETTERS only. **Note:** No need to attach One time Mandate again, if already registered/submitted earlier.

CKYC details (KIN):

KEY PARTNER/AGENT INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN column.)

ARN	ARN / Distributor Name	Sub Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/Employee	Employee Unique Identification Number (EUN)
ARN-					

I/We hereby confirm that the EUN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

First Holder

Second Holder

Third Holder

Transaction charges for applications through Distributors

☐ I confirm that I am a first time investor (₹ 150 deductible as transaction charge & payable to distributor)

☐ I confirm that I am an existing investor (₹ 100 deductible as transaction charge & payable to distributor)

Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN Holder.

EXISTING INVESTOR DETAILS (If you have existing folio)

Folio No.

The details in our records under the folio number mentioned alongside will apply for this application.

NAME OF SOLE /FIRST APPLICANT

Mr. Ms. M/s.

Scheme

☐ Parag Parikh Flexi Cap Fund (PPFCF)

☐ Parag Parikh Liquid Fund (PPLF)

☐ Parag Parikh ELSS Tax Saver Fund (PPTSF)

☐ Parag Parikh Conservative Hybrid Fund (PPCHF)

☐ Parag Parikh Arbitrage Fund (PPAF)

☐ Parag Parikh Dynamic Asset Allocation Fund (PPDAAF)

Plan

☐ Direct (Default plan)

☐ Regular

Option

☐ Growth (Default option)

☐ Income Distribution cum capital withdrawal option. (N/ A for Parag Parikh Flexi Cap Fund (PPFCF), Parag Parikh ELSS Tax Saver Fund (PPTSF) and Parag Parikh Arbitrage Fund (PPAF))

Sub-Option

☐ Reinvestment of Income Distribution cum capital withdrawal option

☐ Payout of Income Distribution cum capital withdrawal option

☐ Daily (Default incase of PPLF)

☐ Weekly (Applicable only for PPLF)

☐ Monthly (Default incase of (PPCHF) and PPDAAF)

☐ Monthly (Applicable only for PPLF, PPCHF and PPDAAF)

SIP DETAILS

☒ Monthly SIP (Minimum ₹ 1000/-, 6 instalments)

☒ Quarterly SIP (Minimum ₹ 3000/-, 4 instalments)

Monthly (Any date, maximum six)

Quarterly (Any date, maximum six)

SIP Amount

☐ 1,000

☐ 5,000

☐ 10,000

☐ 50,000

☐ 1,00,000

☐ 5,00,000

☐ Any Other Amount

Period

☒ Standard

From

To

First SIP Cheque Date

DDMMYY

Cheque No.

ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)

Date:

PPFAS MUTUAL FUND

Registered Office: 81/82, 8th Floor, Sakhar Bhavan, Ramnath Goenka Marg, 230, Nariman Point, Mumbai 400 021.

ISC Stamp & Signature

Folio No.

Received from:

Amount:

☐ OTM DEBIT MANDATE FORM

☐ SIP FORM

☐ SIP TOP UP FORM

First SIP Cheque Date

Cheque No.

Continued to the next page

INSTRUCTIONS TO FILL ONE TIME MANDATE (OTM)

- Investors who have already submitted a One Time Mandate (OTM) form or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account towards OTM facility may fill the form.
- Investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned.
- Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/ bank account details are subject to third party verification.
- Investors are deemed to have read and understood the terms and conditions of OTM Facility, SIP registration through OTM facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of PPFAS Mutual Fund.
- In case of OTM, date and the validity of the mandate should be mentioned in DD/MM/YYYY format and in case of SIP TOP UP it should be in MM/YYYY format.
- Utility Code of the Service Provider will be mentioned by PPFAS Mutual Fund
- Tick on the respective option to select your choice of action and instruction.
- The numeric data like Bank account number, Investors account number should be left padded with zeroes.
- Please mention the Name of Bank and Branch, IFSC / MICR Code also provide an Original Cancelled copy of the cheque of the same bank account registered in One Time Mandate.
- The amount in figures should be same as the amount mentioned in words, in case of ambiguity the mandate will be rejected.
- For the convenience of the investors the frequency of the mandate will be "As and When Presented" (Any corrections in this will be subject to rejection)
- Please affix the Names of customer/s and signature/s as well as seal of Company (where required) and sign the undertaking.
- As per NPCI, Mandate Maximum CAP amount is Rs. 1,00,00,000/- (One Crore) with effect from 1st Oct 2016, until further notice ..
- If your OTM is registered, you can call and renew your SIP on the Toll Free Number: 1800 266 7790 based on the OTM limit and Expiry.
- Mandate date will be valid upto 120 days and the mandate date has to be either less or equal to the current business date.

As per NPCI circular no: NPCI/NACH/OC NO.012/2023-24 dated 29th Dec 2023
With effect from 1st April 2024 mandate can be issued for a maximum period of 40 years from the date of mandate and end date to be mentioned.
- "From date" of the mandate period should not be beyond 120 days from the current date.

SIP TOP UP (Optional) (Tick to avail this facility)

SIP TOP UP Start Month / Year

M	M	Y	Y	Y	Y
---	---	---	---	---	---

SIP TOP UP Frequency:

☐ Half Yearly

☐ Yearly

TOP UP Amount*: (Minimum Rs. 500) Rs. _____

Note: • Default Frequency is Yearly. • It is mandatory to submit NACH (OTM). • NACH mandate should be provided for maximum amount in line with your Top Up mandate & SIP tenure.

SIP TOP UP Amount-based Cap* (Optional): Rs. _____

*Please refer to point No. 7 under 'SIP Top Up Explained'

DEMAT ACCOUNT DETAILS (Optional - Nomination Provided in Demat Account shall be considered)

NSDL	DP Name		CDSL	DP Name	
NSDL: Depository Participant (DP) ID (NSDL only)		Beneficiary Account Number (NSDL only)		CDSL: Beneficiary ID (CDSL only)	

Declaration: I/We hereby declare that the particulars provided in this mandate are correct and complete and hereby agree to participate in the OTM/NACH/Direct Debit/Standing Instructions (SI) and make payments through the NACH platform according to the terms and conditions thereof. I/We further hereby agree and acknowledge that I/we will not hold the AMC and/or responsible for any delay and/or failure in debiting my bank account for reasons not attributable to the negligence and/or misconduct on the part of the AMC I/We hereby declare and confirm that, irrespective of my/our registration of the above mobile number in the 'DO NOT DISTURB (DND)', 'or in any similar register maintained under applicable laws, now or subsequent to the date hereof, I/We hereby consent to the Bank / PPFAS AMC communicating with me/us in any manner whatsoever on the said mobile number with respect to the transactions carried out in my/our aforementioned bank account(s). I/We hereby agree to abide by the terms and conditions that may be intimated to me/us by the PPFAS AMC/Bank with respect to the OTM/NACH/Direct Debit/SI from time to time.

Authorisation to Bank: This is to inform that I/We have registered for OTM / NACH (Debit Clearing)/ Direct Debit / SI facility and that the payment towards my/our investments in the Schemes of PPFAS Mutual Fund shall be made from my/our above mentioned bank account with your Bank. I/We hereby authorize the representatives of PPFAS Asset Management Pvt limited, Investment Manager to PPFAS Mutual Fund carrying this mandate form to get it verified and executed. I/We authorize the Bank to debit my/our above-mentioned bank account for any charges towards mandate verification, registration, transactions, returns, etc, as applicable for my/our participation in NACH/OTM/Direct Debit/SI.



Sole/First Unit Holder's Signature



Second Unit Holder's Signature



Third Unit Holder's Signature

SIP TERMS & CONDITIONS

- If the Fund fails to get the proceeds for three consecutive months and two consecutive reversals in case of quarterly frequency. (due to non-availability of funds) out of a continuous series of installments submitted at the time of initiating a SIP, the AMC reserves the right to discontinue the SIP.
- Minimum six installments are required for SIP extension / renewal.
- In accordance with SEBI letter no MRD/DoP/PAN/PM/166999/2009 dated 19 June 2009 issued to Association of Mutual Funds in India (AMFI) and subsequent guidelines issued by AMFI vide its circular no 35P/MEM-COR/4/09-10 dated 14 July 2009 in this regard, only those SIPs up to Rs 50,000/- per year per investor i.e. aggregate of investments in a rolling 12 - months period or in a financial year i.e. April to March would be classified as 'Micro SIP'
- SIP Frequency

In case SIP day falls on non-business day, the SIP transaction shall be processed on the next business day.
- In case of SIP transactions, the AMC shall terminate the transactions in the below mentioned cases
 1. Three continuous failures on account of insufficient funds / payment stopped by investor.
 2. Account closed or transferred by the investor.
 3. Investors account description does not tally with the description maintained by R&TA/ Mutual Fund.
 4. In case of specific court order or order from any Regulatory Body.
- As per the revised guidelines on KYC procedures, investors investing in Micro SIP would have to undergo detailed KYC procedure as mentioned in Statement of Additional Information (SAI) including IPV.
- In case the application for subscription does not comply with the above provisions, The AMC/Trustees retain the sole and absolute discretion to reject/ not process such application and refund the subscription money.
- Complete Common Application form and SIP OTM/NACH Mandate Form along with the first cheque should be submitted to the AMC I CAMS Collection Centers.
- New investors should mandatorily give a cheque for the first Installment. The first cheque should be drawn on the same bank account which is to be registered for OTM/NACH (Debit Clearing). Alternatively, the cheque may be drawn on any bank, for which investor should provide a cancelled cheque of the bank/ branch for which OTM/NACH is to be registered.
- All SIP installments should be of equal amount.
- SIP mandate (with or without the accompanying OTM / NACH Mandate) will be registered by the concerned bank within a period of 30 days. The auto-debit will commence on the first eligible date following such registration. The AMC reserves the right to modify the SIP period depending on the one month period for registration to ensure minimum number of installments as mentioned in Scheme Information Document (SID).
- Request for cancellation should be submitted 10 business days prior to the next SIP date.
- The bank account provided for OTM/NACH (Debit) should participate in local MICR Clearing.
- In case MICR code is unavailable, please mention the IFSC while submitting the OTM /NACH mandate.
- The investor agrees to abide by the terms and conditions of OTM/NACH facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time.
- The investor undertakes to keep sufficient funds in the account till the date of execution of the debit. The investor hereby declares that the particulars given overleaf are correct and complete. If the date of debit to the investors account happens to be a non Business day as per the fund, execution of the debit will not happen on the day of the holiday and allotment of Units will happen as per the terms and conditions listed in the concerned Scheme Information Document (SID). The Fund, its Registrars, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, change of government policies, unavailability of banks computer system, force majeure events or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
- Investors will not hold PPFAS Mutual Fund, its registrars, banks and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific SIP date for any other reason.
- Incorrect, incomplete or ambiguous forms will not be accepted.
- To avail of SIP in separate schemes/options via Direct Debit/OTM/NACH facility, an investor will have to fill a separate form for each scheme/ options. A single form cannot be used for different schemes simultaneously.
- In case the SIP end date is not mentioned while submitting the application, the SIP mandate will be construed to be perpetual, till instruction to the contrary is received from the investor
- For modification/change in SIP amount, New SIP Auto debit mandate form with revised SIP amount along with letter to discontinue the existing SIP amount to be submitted 30 business days prior to the Installment date.
- If an investor gives a request for change in bank mandate of the same amount as that of the existing SIP amount in the same folio, the existing SIP will be discontinued and only the new SIP containing new bank details will be considered. But if an investor gives a request for change in bank mandate with different SIP amount both the new SIP as well as the existing SIP would continue.
- In case of an investor who was a minor, the minor on attaining majority has to submit all the necessary documents and complete all the formalities as mentioned in the SID to start or renew a SIP/SWP.
- The allotment of units in case of SIP in the scheme of PPFAS mutual fund will be based on realization and utilization of funds only.
- In case an investor gives full/partial redemption request in a folio where there is an ongoing SIP, then redemption will be processed only for the units towards which the amount has been realized.



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Instructions to fill SIP Mandate

- The investor agrees to abide by the terms and conditions of NACH facility of NPCI, PPFAS Mutual Fund. its registrars and other service providers shall not be held responsible or will not be liable for any damages and will not compensate for any loss, damage etc. incurred to the investor. The investor assumes the entire risk of using this facility and takes full responsibility. Investor will not hold PPFAS Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of Auto Debit /OTM NACH/ local holidays.
 - PPFAS Mutual Fund does not accept applications for subscriptions accompanied with third party payment instruments except in exceptional situations.
- Third Party Payment is defined as:
- Payment made through an instrument issued from an account other than that of the beneficiary investor.
 - In case of payments from a joint bank account, the 1st holder of the mutual fund folio has to be one of the joint holders of the Bank Account from which payment is made.
 - Investments from the investor's account with a different bank i.e., the pay-in & payout banks are different. if the pay-in bank mandate could not be established to be that of the investor. it will also be treated as third party investment.

The exceptional situations where the same will not be applicable are as follows:

- Payment by Parents/Grandparents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs. 50,000/- (each regular purchase or per SIP installment);
 - Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions;
- For PPFCE, PPLF and PPCHE, Minimum application amount for Monthly SIP option - 6 installments of Rs.1000/- each in multiple of Re.1 thereafter. For Quarterly SIP option-4 installments of Rs. 3000/- each in multiple of Re.1 thereafter. For PPTSF- Minimum application amount for Monthly SIP option - 6 installments of Rs.1000/- each in multiple of Rs.500 thereafter and For Quarterly SIP option-4 installments of Rs. 3000/- each in multiple of Rs. 500/- thereafter.
 - The provisions mentioned in the respective SID regarding Applicable NAV, Risk Factors, Load etc. shall be applicable.
 - Investors are required to provide an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/ bank account details are subject to third party verification. In case the investor is not able to submit the Original cancelled cheque or do not have the name of the investor on the face of the cheque. Then submit any one of the following:
 - Copy of the bank passbook attested by bank / Original bank statement with name, address and bank account number of the investor.
 - A letter from the bank on its letter head certifying that the investor maintains an account with the bank, along with the information like the bank account number, bank branch, account type, the MICR code of the branch and the IFSC code.
 - Allotment of units would be subject to realisation of credit.
 - PPFAS Mutual Fund reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s). On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS within 5 Business Days from the date of closure of initial subscription and/or date of receipt of transaction request will be sent to the Unit holders registered e-mail address and/or mobile number. A consolidated account statement (CAS) for each calendar month to the investor(s) in whose folio(s) transaction(s) across all mutual funds has/have taken place during the month on or before 10th of the succeeding month shall be sent by email (wherever investor has provided email id) or physical account statement where investor has not provided email id.
 - Transaction Charge: In accordance with SEBI circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011, PPFAS Mutual Fund will deduct Transaction Charges from the purchase/subscription amount received from the investors investing through a valid ARN Holder i.e. AMFI registered Distributor (provided the Distributor has opted to receive the Transaction Charges).

Transaction Charge of Rs. 150 (for a first time investor across mutual funds) or Rs. 100 (for investor other than first time mutual fund investor) per purchase / subscription of Rs. 10,000 and above are deductible from the purchase / subscription amount and payable to the Distributor. The balance amount shall be invested. Transaction charges in case of investments through SIP: Transaction Charges in case of investments through SIP are deductible only if the total commitment of investment (i.e. amount per SIP installment x No. of installments) amounts to Rs. 10,000 or more. In such cases, Transaction Charges shall be deducted in 3-4 installments. Transaction Charges shall not be deducted:

- where the Distributor of the investor has not opted to receive any Transaction Charges
 - for purchases / subscriptions / total commitment amount in case of SIP of an amount less than Rs. 10,000/-;
 - Purchase/Subscription made directly with the fund through any mode (i.e. not through any distributor/agent).
 - Purchase/Subscription made through stock Exchange, irrespective of investment amount
 - For transactions other than purchases / subscriptions relating to new inflows i.e. through Switches / Systematic Transfers / IDCW Transfers/ IDCW Reinvestment, etc.
- Employee Unique Identification Number (EUIIN): Further, SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIIN obtained by him/her from AMFI in the Application Form. EUIIN would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Hence, if your investments are routed through a distributor please ensure that the EUIIN is correctly filled up in the Application Form.

However, if the transaction is executed without any interaction or advice of the employee/ relationship manager / sales person of the distributor the EUIIN box may be left blank. In this case you are required to provide a duly signed declaration to this effect in the form.

Overseas Distributors: Overseas Distributors are exempt from obtaining NISM certification and AMFI registration as per AMFI circular No. CIR/ ARN-14/12-13 dated July 13, 2012 and the EUIIN requirement as per AMFI Circular No.135/BP/33/2012-13 dated December 31, 2012. However, such Overseas Distributors are required to comply with the guidelines/ requirements as may be issued by AMFI /SEBI from time to time and also comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors

- An investor can opt for Monthly frequency. An investor shall have the option of choosing for 1 or more than 1 SIP in the same scheme and in the same month.
- In case there are three consecutive SIP transaction reversals due to insufficient fund, the subsequent SIP installments will be automatically ceased.
- "Until Cancelled" will be the default option in a case end date for enrollment period is not provided.

In case an investor, who has opted for Until Cancelled, subsequently intends to discontinue the same, a written communication thereof will be required to be furnished. In case the SIP end date is not mentioned while submitting the application, the SIP mandate will be construed to be Until Cancelled, till instruction to the contrary is received from the investor.
- Procedure for Cancellation of Existing SIP: Investor needs to submit a letter OR the prescribed SIP Discontinuity form, duly completed and signed by all the unit holders. This request should be received to us at least 15 days prior to the next due date of SIP.
- PPFAS Mutual Fund reserves the right to reject any application without assigning any reason thereof. PPFAS Mutual Fund in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.



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Introduction of Systematic Investment Plan (SIP) Top-Up Facility

It is a facility wherein an investor who is enrolling for SIP has an option to increase the amount of the SIP installment by a fixed amount at pre-defined intervals. Thus, an investor can progressively start increasing the amount invested, allowing them to gradually increase the investment corpus in a systematic manner.

The Salient features of this facility are as follows:

1. New investor can opt for it at the time of initiating the SIP
Existing unitholders can opt for it at the time of SIP renewal.
2. Investor can opt for an amount-based Cap whereby they can choose the amount from which the top-ups will cease (even though the SIP will continue at this final amount till the expiry date)

In case the top-up amount-based cap is not chosen, the top-up will occur at the chosen frequency (half-yearly/ yearly) until the SIP expiry date (Please refer to illustrations 1-A, 2-A)

3. The amount of each such SIP installment cannot exceed the Daily One Time Mandate (OTM) limit for purchases in from all modes (lumpsum as well as SIP.)

In case of any conflict, such SIP installment will have precedence over any lumpsum purchases undertaken on that day.

Any lumpsum purchase exceeding the OTM limit will be reversed within three working days of the relevant intimation received from the unitholder's bank.

4. Minimum Top-up Amount for the said facility will be Rs. 500/- & in multiple of Re. 1/- thereafter. Forms where a specific amount is not clearly mentioned are liable to be rejected.

5. Frequency for the Top up facility:

Investors can choose either 'Half-Yearly' or 'Yearly' Top-Up increments under, Monthly SIP options.

In case SIP Top-Up frequency is not mentioned, the default frequency will be considered as 'Yearly' for both Monthly and Quarterly SIP.

6. The facility is available only for the investors who submit "NACH / One Time Mandate (OTM) Form" mentioning the 'Maximum Amount'. This will limit the total investment to the pre-determined 'maximum amount'.
7. **Once the SIP Top-Up upper limit is reached, the Top-Up will be discontinued. However, the SIP will continue at this upper limit for the remaining SIP enrollment period (subject to it not exceeding the daily OTM limit). For further clarification, please refer the illustrations as mentioned below.**
8. The initial investment under the SIP Top-Up will be subject to minimum SIP investment requirement applicable from time to time (As on January 1, 2017, this figure is Rs. 1000/-).
9. Once enrolled, the Top-up details cannot be modified. However, investors can choose to cancel the Top-Up, by filling in the relevant Form and continue with the same SIP.
10. For further details and forms, investors are requested to refer the website (www.amc.ppfas.com) or contact the Registered Office of PPFAS Mutual Fund.
11. The above terms apply for both, offline and online modes of application, as and when initiated by the Fund.
12. All the other provisions of the SID/KIM except as specifically modified herein above remain unchanged.



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Illustration no. 1 (Monthly SIP; Top-Up Frequency: Half-Yearly; Amount-based cap opted for)

SIP enrollment period: 5th Jan 2017 to 5th Dec 2022;

Starting Monthly SIP amount: Rs. 1000/-

Top Up Amount: Rs. 555/-

Top Up frequency: Half - Yearly

Top Up Amount Cap: Rs. 3220/-

Daily OTM Limit: Rs. 4000/-

From Date	To Date	Monthly SIP Installment (Rs.)	SIP Top Up Amount (Rs.)	Total Amount of SIP (Rs.)
5 - Jan - 17	5 - Jun - 17	1000	NA	1000
5 - Jul - 17	5 - Dec - 17	1000	555	1555
5 - Jan - 18	5 - Jun - 18	1555	555	2110
5 - Jul - 18	5 - Dec - 18	2110	555	2665
5 - Jan - 19	5 - Dec - 22	2665	555	3220

Here the monthly SIP Installment will be frozen at Rs. 3220/- even though the OTM limit of Rs. 4000, is higher.

Illustration no. 1-A (Monthly SIP; Top-Up Frequency: Half-Yearly; Amount-based cap not opted for)**In this case the top-up will keep occurring at the chosen frequency (half-yearly in this case) until the SIP expiry date (December 5, 2022). The amount cannot cross the OTM limit, though.**

SIP enrollment period: 5th Jan 2017 to 5th Dec 2022;

Starting Monthly SIP amount: Rs. 1000/-

Top Up Amount: Rs. 555/-

Top Up frequency: Half-Yearly

Top Up Amount Cap: Not chosen

Daily OTM Limit : Rs. 6000/-

From Date	To Date	Monthly SIP Installment (Rs.)	SIP Top Up Amount (Rs.)	Total Amount of SIP (Rs.)
5 - Jan - 17	5 - Jun - 17	1000	NA	1000
5 - Jul - 17	5 - Dec - 17	1000	555	1555
5 - Jan - 18	5 - Jun - 18	1555	555	2110
5 - Jul - 18	5 - Dec - 18	2110	555	2665
5 - Jan - 19	5 - Jun - 19	2665	555	3220
5 - Jul - 19	5 - Dec - 19	3220	555	3775
5 - Jan - 20	5 - Jun - 20	3775	555	4330
5 - Jul - 20	5 - Dec - 20	4330	555	4885
5 - Jan - 21	5 - Jun - 21	4885	555	5440
5 - Jul - 21	5 - Dec - 21	5440	555	5995
5 - Jan - 22	5 - Dec - 22	5995	NIL	5995

Here the monthly SIP installment of Rs. 5995/- will be frozen at a level which is closest to the daily OTM limit of Rs. 6000/-, as it is not permitted to cross it.

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Illustration no. 2 (Monthly SIP; Top-Up Frequency: Yearly; Amount-based cap opted for)

SIP enrollment period: 10th Jan 2017 to 10th Dec 2022;

Starting Monthly SIP amount: Rs. 1000/-

Top Up Amount: Rs. 777/-

Top Up frequency: Yearly

Top Up Amount Cap: Rs. 4108/

Daily OTM Limit: Rs. 5000/-

From Date	To Date	Monthly SIP Installment (Rs.)	SIP Top Up Amount (Rs.)	Total Amount of SIP (Rs.)
10 - Jan - 17	10 - Dec - 17	1000	NA	1000
10 - Jan - 18	10 - Dec - 18	1000	777	1777
10 - Jan - 19	10 - Dec - 19	1777	777	2554
10- Jan - 20	10 - Dec - 20	2554	777	3331
10 - Jan - 21	10 - Dec - 21	3331	777	4108
10 - Jan - 22	10- Dec - 22	4108	NIL	4108

Here the monthly SIP installment will be frozen at Rs. 4108/- even though the OTM limit of Rs. 5000/- is higher.**Illustration no. 2 - A: (Monthly SIP; Top-Up Frequency: Yearly; Amount-based Cap not opted for)****In this case the top-up top-up will keep occurring at the chosen frequency (yearly, in this case) until the SIP expiry date (December 10, 2022), provided the OTM limit is not crossed.**

SIP enrollment period: 10th Jan 2017 to 10th Dec 2022;

Starting Monthly SIP amount: Rs. 1000/-

Top Up Amount: Rs. 777/-

Top Up frequency: Yearly

Top Up Amount Cap: Not chosen

Daily OTM Limit: Rs. 4500/-

From Date	To Date	Monthly SIP Installment (Rs.)	SIP Top Up Amount (Rs.)	Total Amount of SIP (Rs.)
10 - Jan - 17	10 - Dec - 17	1000	NA	1000
10 - Jan - 18	10 - Dec - 18	1000	777	1777
10 - Jan - 19	10 - Dec - 19	1777	777	2554
10- Jan - 20	10 - Dec - 20	2554	777	3331
10 - Jan - 21	10 - Dec - 21	3331	777	4108
10 - Jan - 22	10- Dec - 22	4108	NIL	4108

Here the monthly SIP installment will be frozen at Rs. 4108/- as it is closest to the daily OTM limit of Rs. 4500/- and is not permitted to cross it.**Toll Free -1800-266-7790****Email us at
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