

# Application Form (Except for ETFs, HDFC Retirement Savings Fund and HDFC Children's Fund)

Investors must read the Key Information Memorandum, the instructions and Product Labeling on page 147 & 149 before completing this Form.  
The Application Form should be completed in English and in **BLOCK LETTERS** only.

KEY PARTNER / AGENT INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN column.) (Refer Instruction 1)						FOR OFFICE USE ONLY (TIME STAMP)	CAMS bar code
ARN/RIA Code/Stock Broker/Portfolio Manager Registration Number (PMRN)	ARN/RIA/Portfolio Manager's/ Stock Broker's Name	Sub Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/Employee	Employee Unique Identification Number (EUIIN)		
ARN-						E613650	

## EUIN Declaration (only where EUIN box is left blank) (Refer Instruction 1)

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

<b>SIGN</b>	First/ Sole Applicant/ Guardian/ PoA Holder	Second Applicant	Third Applicant
-------------	---	------------------	-----------------

## TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer Instruction 2)

I confirm that I am a first time investor across Mutual Funds. **OR**  I confirm that I am an existing investor across Mutual Funds.  
In case the purchase/ subscription amount is Rs. 10,000 or more and your Distributor has opted in to receive Transaction Charges, the same are deductible as applicable from the purchase/ subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

## 1. EXISTING UNIT HOLDER INFORMATION (IF YOU HAVE EXISTING FOLIO, PLEASE FILL IN SECTIONS viz. 1, 6, 7, 9 AND 13 ONLY. Refer instruction 3).

Folio No.  /  The details in our records under the folio number mentioned alongside will apply for this application.

## 2. MODE OF HOLDING [Please tick (✓)] Single Joint (Default) Anyone or Survivor

## 3. UNIT HOLDER INFORMATION (Refer instruction 4) DATE OF BIRTH@/DATE OF INCORPORATION D D M M Y Y Y Proof of date of birth@ Please (✓) Attached

### NAME OF FIRST / SOLE APPLICANT (In case of Minor, there shall be no joint holders) (Name of the unitholder needs to as per PAN records(all unitholder))

Mr. Ms. M/s.

Nationality

PAN#/ PEKRN#

KYC Number

KYC # [Please tick (✓)] (Mandatory)

Proof Attached

### NAME OF GUARDIAN (in case of First / Sole Applicant is a Minor) / NAME OF CONTACT PERSON - DESIGNATION (in case of non-individual Investors)

Mr. Ms.

Nationality

Designation

Contact No.

PAN#/ PEKRN#

DATE OF BIRTH

D  D  M  M  Y  Y  Y

KYC Number

KYC # [Please tick (✓)] (Mandatory)

Proof Attached

Relationship with Minor@ Please (✓)  Father  Mother  Court appointed Legal Guardian Proof of relationship with minor@ Please (✓)  Attached @ Mandatory

## CONTACT DETAILS OF FIRST / SOLE APPLICANT

Country Code - Mobile

STD Code

STD Code

Res.

Telephone No. Office

IN CAPITALS

This mobile number belongs to (Mandatory Please ✓):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  POA  Custodian (for FPIs only)  PMS

This email id belongs to (Mandatory Please ✓):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  POA  Custodian (for FPIs only)  PMS

I hereby declare that I shall immediately notify any change to the mobile number/ email id. (Refer instruction 9)

I/ We would like to register for online access to transact on HDFCMFOnline Investors as per the terms & conditions displayed on website: www.hdfcfund.com (Email id mandatory) (only for non individuals and individuals with mode of holding as 'Joint'). Refer Instruction 12.

^ On providing email-id investors shall receive the scheme wise annual report or an abridged summary thereof/ account statements/ statutory and other documents by email.

However, if the investors wish to receive physical copy of the scheme wise annual report or an abridged summary thereof [Please tick (✓)] Opt-in  (Refer Instruction 9)

## MAILING ADDRESS OF FIRST / SOLE APPLICANT (Mandatory) (Refer Instruction 4a)

CITY	STATE	PIN CODE
COUNTRY		

## KYC Details

Status of First/ Sole Applicant [Please tick (✓)]  Individual  Non - Individual\* [Please attach FATCA, CRS & Ultimate Beneficial Ownership (UBO) Self Certification Form] (Mandatory) (Refer Instruction 4 & 18)

Resident Individual  Partnership  Trust  HUF  AOP  PIO  Pvt. Ltd. Company  Public Ltd. Company  Minor through guardian  BOI  OCI  Body Corporate  LLP

Society/Club  NRI-Repatriation  NRI-Non Repatriation  Foreign National Resident in India  FPI  Sole Proprietorship  Non Profit Organisation  Others (please specify)

LEI No.  Expiry Date:  DD  MM  YYYY

(Mandatory for Non - Individuals transacting / proposing to transact for an amount of Rs. 50 crores or more)

\* Trust/Societies/Section 8 companies to give below declaration

We are a "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

YES  NO

If yes, please quote Registration No. of Darpan portal of Niti Aayog.

(If not registered already, please register immediately and confirm with the above information)

Occupation Details [Please tick (✓)]  Private Sector Service  Public Sector Service  Government Service  Business  Professional  Agriculturist  Retired  Housewife  Student  Proprietorship  Others (Please specify) \_\_\_\_\_

Gross Annual Income in Rs. [Please tick (✓)]  Below 1 lac  1-5 lac  5-10 lac  10-25 lac  25 lac- 1 cr  > 1 cr

OR Networth in Rs. (Mandatory for Non Individual) \_\_\_\_\_ as on  D  D  M  M  Y  Y  Y (not older than 1 year)

For Individual [Please tick (✓)]  I am Politically Exposed Person  I am Related to Politically Exposed Person  Not Applicable

# Please attach Proof. Refer instruction No 15 for PAN/PEKRN and No 17a for KYC (KRA). Refer instruction No 17b for KYC Identification Number issued by CKYCR.

## ACKNOWLEDGEMENT SLIP (To be filled in by the Investor) [For any queries please contact our nearest Investor Service Centre or call us at our Customer Service Number 1800 3010 6767 / 1800 419 7676 (Toll Free)]

HDFC MUTUAL FUND

Date:

Head Office : HDFC House, 2nd Floor, H.T. Parekh Marg,  
165-166, Backbay Reclamation, Churchgate, Mumbai - 400 020.

ISC Stamp & Signature

Received from Mr. / Ms. / M/s. \_\_\_\_\_

an application for Purchase of Units of the Scheme(s) alongwith Cheque / Payment Instrument as detailed overleaf.

... continued overleaf

#### 4. JOINT APPLICANT DETAILS, If any (Refer instruction 4) (In case of Minor, there shall be no joint holders)

##### 1. NAME OF SECOND APPLICANT

##### DATE OF BIRTH

Mr. Ms. M/s.

Nationality

D D M M Y Y Y Y

KYC Number

PAN#/ PEKRN#

KYC # [Please tick (✓)] (Mandatory)

Proof Attached

Occupation Details [Please tick (✓)]  Private Sector Service  Public Sector Service  Government Service  Business  Professional  Agriculturist  Retired  Housewife  
 Student  Proprietorship  Others (Please specify) \_\_\_\_\_

Gross Annual Income in Rs. [Please tick (✓)]  Below 1 lac  1-5 lac  5-10 lac  10-25 lac  25 lac- 1 cr  > 1 cr

For Individual [Please tick (✓)]  I am Politically Exposed Person  I am Related to Politically Exposed Person  Not Applicable

##### CONTACT DETAILS OF SECOND APPLICANT

eAlerts Mobile

eDocs Email of First / Sole holder ^

IN CAPITALS

This mobile number belongs to (Mandatory Please ✓):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  POA  Custodian (for FPIs only)  PMS  
 This email id belongs to (Mandatory Please ✓):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  POA  Custodian (for FPIs only)  PMS

##### 2. NAME OF THIRD APPLICANT

##### DATE OF BIRTH

Mr. Ms. M/s.

Nationality

D D M M Y Y Y Y

KYC Number

PAN#/ PEKRN#

KYC # [Please tick (✓)] (Mandatory)

Proof Attached

Occupation Details [Please tick (✓)]  Private Sector Service  Public Sector Service  Government Service  Business  Professional  Agriculturist  Retired  Housewife  
 Student  Proprietorship  Others (Please specify) \_\_\_\_\_

Gross Annual Income in Rs. [Please tick (✓)]  Below 1 lac  1-5 lac  5-10 lac  10-25 lac  25 lac- 1 cr  > 1 cr

For Individual [Please tick (✓)]  I am Politically Exposed Person  I am Related to Politically Exposed Person  Not Applicable

##### CONTACT DETAILS OF THIRD APPLICANT

eAlerts Mobile

eDocs Email of First / Sole holder ^

IN CAPITALS

This mobile number belongs to (Mandatory Please ✓):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  POA  Custodian (for FPIs only)  PMS  
 This email id belongs to (Mandatory Please ✓):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  POA  Custodian (for FPIs only)  PMS

#### 5. POWER OF ATTORNEY (PoA) HOLDER DETAILS

Name of PoA Mr. Ms. M/s.

PAN#/ PEKRN#

KYC Number

KYC # [Please tick (✓)] (Mandatory)

Proof Attached

eAlerts Mobile

eDocs Email of PoA holder ^

IN CAPITALS

# Please attach Proof. Refer instruction No 15 for PAN/PEKRN and No 17a for KYC (KRA). Refer instruction No 17b for KYC Identification Number issued by CKYCR.

#### 6. FATCA AND CRS INFORMATION (for Individual including Sole Proprietor) (Self Certification) (Refer instruction 4)

The below information is required for all applicant(s)/ guardian

Address Type:  Residential or Business  Residential  Business  Registered Office (for address mentioned in form/existing address appearing in Folio)

Mandatory

Category	First Applicant/Guardian in case of Minor	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency#			
Is the applicant(s)/guardian's Country of Birth/Citizenship/ Nationality/Tax Residency other than India?	First Applicant/Guardian in case of Minor	Second Applicant/ Guardian	Third Applicant
Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below*.	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

If Yes, please provide the following information [mandatory]

Category	First Applicant/Guardian in case of Minor	Second Applicant/ Guardian	Third Applicant
Tax Payer Ref. ID No ^			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 2			
Tax Payer Ref. ID No. 2			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 3			
Tax Payer Ref. ID No. 3			
Identification Type [TIN or other, please specify]			

#To also include USA, where the individual is a citizen/ green card holder of USA. ^ In case Tax Identification Number is not available, kindly provide its functional equivalent.

#### 7. UNIT HOLDING OPTION DEMAT MODE\* (Enclose Latest Client Master / Demat Account Statement) PHYSICAL MODE (Default) (refer instruction 12)

\*Demat Account details are mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL/CDSL)

NSDL	DP Name _____	DP ID	I N	Beneficiary Account No.
CDSL	DP Name _____	Beneficiary Account No.		

##### Particulars

Scheme Name / Plan / Option / Sub-option / Payout Option	Cheque / Payment Instrument / UTR No. / Date	Drawn on (Name of Bank and Branch)	Amount in figures (Rs.)

Please Note: All Purchases are subject to realisation of cheques / Payment Instrument.

**8. INVESTMENTS & PAYMENT DETAILS** [Please (✓)] (refer instruction 6 & 7 for Scheme details and instruction 8 & 10 for Payment Details) The name of the first/ sole applicant must be pre-printed on the cheque.

Sr No.	Name of the Schemes	Plan	Option	Sub-Option for IDCW	Investment Amount (Rupees)
1	HDFC	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW ^	<input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment	
2	HDFC	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW ^	<input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment	
3	HDFC	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW ^	<input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment	

In case of multiple schemes, Cheque should be drawn in favour of "HDFC MF LUMP SUM COLLECTION A/C" and the cheque amount should match with the total Investment amount mentioned here.

**Total Amount**

**Mode of Payment**  Cheque  NEFT/ RTGS/ Fund Transfer  One Time Mandate (OTM)\*

Sr No.	Cheque/NEFT/RTGS/UTR no.	Date of Cheque/NEFT/RTGS/Fund Transfer	Amount of Cheque/NEFT/RTGS/Fund Transfer	Drawn on Bank & Branch	Bank Account No. (For Cheque/NEFT/RTGS/OTM)
1					
2					
3					

\*Please note that OTM can be selected as mode of payment provided OTM is already registered. In case OTM is not registered please fill in the attached OTM Debit Mandate to make future transactions via OTM.

^ The amounts can be distributed out of investor's capital (Equalization Reserve), which is part of sale price that represents realized gains.

**9. BANK ACCOUNT DETAILS OF THE FIRST / SOLE APPLICANT (For redemption/ IDCW Payments if any) (refer instruction 5)**  
(Mandatory to attach proof, in case the pay-out bank account is different from the bank account mentioned under Section 9 below.)

For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here.

Bank Name						
Branch Name						Bank City
Account Number						Account Type (Please ✓) <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/> Others (please specify)
IFSC Code***						*** Refer Instruction 5C (Mandatory for Credit via NEFT / RTGS) (11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, please check for the same with your bank)

**10. MODE OF PAYMENT OF REDEMPTION / IDCW PROCEEDS (refer instruction 10) The redemption / IDCW proceeds will be directly credited to the investor's bank account.**

**11. NOMINATION FOR UNITS IN NON-DEMAT MODE Please refer to instruction no. 14 (Nomination is mandatory for all folios held Singly)**

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

OR  I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the implications / issues involved in non-appointment of any nominee(s) and am/ are further aware that in case of my demise / death of all the unit holders in the folio, my / our legal heir(s) would need to submit all the requisite documents issued by the Court or such other competent authority, as may be required by the Mutual Fund / AMC for settlement of death claim / transmission of units in favour of the legal heir(s), based on the value of the units held in the mutual fund folio/s.

Name and Address of Nominee(s) (IN CAPITALS) (Mandatory)	PAN	Nominee Relationship (Mandatory)* (Proof to be attached)	Date of Birth	Name of the Guardian (Mandatory) PAN and Address of Guardian (Optional)	Share of each nominee (%)\$ (If not equally, please specify percentages below)
			(Mandatory to be furnished in case the Nominee is a minor)		
Nominee 1				PAN: _____	<input type="checkbox"/> Equally <input type="checkbox"/> Not Equally (provide %) _____ %
Nominee 2				PAN: _____	<input type="checkbox"/> Equally <input type="checkbox"/> Not Equally (provide %) _____ %
Nominee 3				PAN: _____	<input type="checkbox"/> Equally <input type="checkbox"/> Not Equally (provide %) _____ %
Mobile/Telephone No. of nominee(s)/ Guardian in case of Minor	Nominee 1		Nominee 2	Nominee 3	
Email ID of nominee(s)/ Guardian in case of Minor	Nominee 1		Nominee 2	Nominee 3	

**Nominee/ Guardian (in case of Minor) Identification details – [Please tick any one of following and provide details of same]**

Photograph & Signature  PAN  Aadhaar  Saving Bank account no.  Proof of Identity  Demat Account ID

\$ Any odd lot after division shall be transferred to the first nominee mentioned in the table above.

\*Guardian's Relationship with Nominee (Mandatory):  Mother  Father  Legal Guardian

Proof of Relationship of Guardian with Minor:  Birth Certificate  School Leaving Certificate  Passport  Others \_\_\_\_\_

I/We have read and understood the instructions on nomination and I/We hereby undertake to abide by the same. The instructions contained herein supercedes all previous nominations made by me/us in respect of the folio(s) mentioned above.

To be signed by ALL holders, irrespective of Mode of Holding or Mode of Operation

POA holder cannot  
nominate.

First / Sole Applicant

Second Applicant

Third Applicant

**12. RESOLUTION OF DISPUTES (For Institutional or corporate clients) (Refer instruction 20)**

Smart ODR **OR**  by harnessing any independent institutional mediation, conciliation and/or online arbitration institution in India

**13. DECLARATION & SIGNATURE/S (refer instruction 13)**

I/We have read, understood the terms and conditions of the scheme related documents and the addendum issued therein till date, Key Information Memorandum of the Schemes as well as the rules and regulations of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable to me/us from time to time and agree to comply with the same as a Unitholder. I/We hereby apply to the Trustees for allotment of Units of the Scheme(s) of HDFC Mutual Fund ('Fund') and confirm and declare as under:

- (a) I/We am/are eligible Investor(s) as per the scheme related documents and not prohibited from accessing capital markets by any order/ruling / judgment etc. passed by SEBI/ Statutory Authority or Courts in India and Foreign laws. I am/We are authorised to make this investment as per the Constitutive documents/ authorization(s). The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.
- (b) I/ We will be bound by the Fund's terms and conditions as amended from time to time.
- (c) The information given by me /us in or along with this application form is true and correct and I/we shall furnish such other further/additional information as may be required by the HDFC Asset Management Company Limited (AMC)/ Fund. I/We undertake to promptly inform the AMC / Fund/Registrars and Transfer Agent (RTA) in writing about any change in the information furnished by me/us from time to time.
- (d) I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/ advice to me/us.
- (e) I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers/ Stock Broker registered in the concerned folio, if applicable.
- (f) I/We shall be liable and responsible for any loss, claims suffered, directly or indirectly by AMC/ Fund/ RTA/ SEBI Intermediaries, arising out of any false, misleading, inaccurate and incomplete information furnished by me/us at the time of investing/redeeming the units. I/We hereby unconditionally and irrevocably indemnify and at all time keep indemnified, save and harmless AMC/Fund/Trustee and their officers, directors and employees against all actions, proceedings, claims, losses, damages, charges and expenses incurred or suffered /paid by AMC/Fund in this regard and in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.
- (g) The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.
- (h) **I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT.**

**Consent for Telemarketing (Refer Instruction 19):**

I/We hereby accord my/our consent to HDFC AMC for receiving the promotional information/ material via email, SMS, telemarketing calls etc. on the mobile number and email provided by me/us in this Application Form.

**Consent for disclosure of Personal Information in terms of Privacy Policy**

I/We hereby confirm to have read, understood and agree to the terms of Privacy Policy (available on <https://www.hdfcfund.com>) ("Policy") of HDFC AMC/ Fund.

I/We hereby accord my/our consent to HDFC AMC/Fund for collecting, receiving, possessing, storing, dealing, handling or disclosure of my/ our Personal Data and hereby authorize to disclose it to the third party or another body corporate or any person acting under a lawful contract with HDFC AMC, in accordance with the Privacy Policy.

**For Foreign Nationals Resident in India only:**

I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

**For NRIs/ PIO/ OCIs/ FPIs only:**

I/We confirm that my application is in compliance with applicable Indian and foreign laws.

For NRIs/ PIO/OCIs Please   Repatriation basis  Non-repatriation basis

November 2024

<b>SIGN HERE</b> 	First/ Sole Applicant/ Guardian/ PoA Holder	Second Applicant	Third Applicant
(Please write Application Form No. / Folio No. on the reverse of the Cheque / Payment Instrument.)			

**CHECKLIST**

 Please ensure that your Application Form is complete in all respect and signed by all applicants:

- Name, Address and Contact Details are mentioned in full. • Status of First/Sole Applicant is correctly indicated. • Bank Account Details are entered completely and correctly.
- Permanent Account Number (PAN) of all Applicants is mentioned irrespective of the amount of purchase and proof attached (if not already validated) OR PAN Exempt KYC Reference Number (PEKRN) in case of PAN exempt investment.
- Please attach proof of KYC Compliance status if not already validated. • Appropriate Plan / Option is selected.
- If units are applied by more than one applicant, Mode of Operation of account is indicated.

 Your investment Cheque is drawn in favour of '**the Specific Scheme A/c PAN**' or '**the Specific Scheme A/c Investor Name**' dated, signed and crossed 'A/c Payee only'. Application Number / Folio No. is mentioned on the reverse of the Cheque.

 Documents as listed below are submitted along with the Application Form (as applicable to your specific case).

	Documents	Individuals/ HUF/ Sole Proprietary Firms	Companies/ Trusts/ Societies/ Partnership Firms/ LLP	FPI®	NRI/ OCI/ PIO	Minor	Investments through Constituted Attorney
1.	Board/ Committee Resolution/ Authority Letter		✓				
2.	List of Authorised Signatories with Specimen Signature(s) @		✓	✓			✓
3.	Notarised Power of Attorney						✓
4.	Account Debit Certificate in case payment is made by from NRE / FCNR A/c. where applicable				✓		
5.	PAN Proof	✓	✓	✓	✓	✓*	✓
6.	KYC Acknowledgement Letter / Print out of KYC Compliance Status downloaded from CDSL Ventures Ltd. website ( <a href="http://www.cvlindia.com">www.cvlindia.com</a> )	✓	✓	✓	✓	✓*	✓
7.	Proof of Date of Birth					✓	
8.	Proof of Relationship with Guardian (where Minor is Investor or Nominee)					✓	
9.	PIO / OCI Card (as applicable)				✓		
10.	Certificate of registration granted by Designated Depository Participant on behalf of SEBI			✓			
11.	Ultimate Beneficial Owner		✓	✓			✓
12.	FATCA & CRS	✓	✓	✓	✓	✓	✓

 Should be original or true copy certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public, as applicable.

 As per prevailing SEBI (FPI) Regulations, 2019, FPIs can invest in Indian Securities only through Stock Broker and in demat mode only.  # If PAN/PEKRN/KYC proof of Minor is not available, PAN/PEKRN/KYC proof of Guardian should be provided.

# Application Form for Equity and Debt Systematic Investment Plan (SIP) [For Investments through NACH/ Direct Clearing/ Direct Debit Facility/ Standing Instruction]

Important: Please strike out the Section(s) that is/are not used by you to avoid any unauthorised use  
(Please refer Product labeling available on page 147 to 149 and terms and conditions overleaf)

KEY PARTNER / AGENT INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN column.)						FOR OFFICE USE ONLY (TIME STAMP)						
ARN/RIA Code/Stock Broker/ Portfolio Manager Registration Number (PMRN)	ARN/RIA /Stock Broker/ Portfolio Manager's Name	Sub-Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/ Employee	Employee Unique Identification Number (EUN)							
ARN-						E613650						

EUN Declaration (only where EUN box is left blank)

I/We hereby confirm that the EUN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/ sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Sign Here

Sign Here

Sign Here

First/ Sole Applicant/ Guardian/ PoA Holder

Second Applicant

Third Applicant

Date:  D  D  M  M  Y  Y  Y  Y

## Transaction Charges for Applications through Distributors only

I confirm that I am a first time investor across Mutual Funds.

OR

I confirm that I am an existing investor across Mutual Funds.

If the total commitment of investment through SIP (i.e. amount per SIP installment X no. of installments) amounts to Rs.10,000 or more and your Distributor has opted to receive transaction Charges, the same are deductible as applicable from the installment amount and payable to the Distributor. In such cases Transaction Charge will be recoverable in 3-4 installments. Units will be issued against the balance of the installment amounts invested.

Please (✓) any one. In the absence of indication of the option the form is liable to be rejected.

NEW REGISTRATION

CANCELLATION<sup>s</sup>

Please (✓) as applicable:

OTM Debit Mandate is already registered in the folio. [No need to submit again]. SIP Auto debit can start in 10 Days i.e. for debit date 15th, form can be submitted till 4th of the month.

OTM Debit Mandate is attached and to be registered in the folio. SIP Auto debit will start after mandate registration which takes 10 to 21 days depending on NACH modalities.

## 1) INVESTOR DETAILS<sup>s</sup>

Application No. (For new investor)/ Folio No. (For existing Unitholder)

Applicant	Name	PAN/ PEKRN <sup>#</sup> (Mandatory)
Sole / First Applicant		
Second Applicant		
Third Applicant		
Guardian/POA Holder		

# Please attach Proof. If PAN/PEKRN/KYC is already validated please don't attach any proof. PEKRN mandatory for Micro SIP.

## I/WE WOULD LIKE TO INVEST TO MEET MY/OUR FINANCIAL GOALS (choose anyone (✓))

Purchase of Residence  Children's Education  Children's Marriage  Retirement  Others \_\_\_\_\_ *Please Specify*

Target Amount

## 2) INVESTMENT DETAILS FOR SIP<sup>s</sup> [Please tick (✓)]

Scheme Name	HDFC	HDFC	HDFC
Plan	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Regular <input type="checkbox"/> Direct
Option / Facility	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW   <input type="checkbox"/> Reinvest <input type="checkbox"/> Payout	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW   <input type="checkbox"/> Reinvest <input type="checkbox"/> Payout	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW   <input type="checkbox"/> Reinvest <input type="checkbox"/> Payout
Frequency	<input type="checkbox"/> Daily <sup>++</sup> <input type="checkbox"/> Weekly <sup>##</sup> <i>(Any days from Monday to Friday)</i> <input type="checkbox"/> Monthly <sup>+</sup> <input type="checkbox"/> Quarterly <input type="checkbox"/> Half-Yearly <input type="checkbox"/> Yearly	<input type="checkbox"/> Daily <sup>++</sup> <input type="checkbox"/> Weekly <sup>##</sup> <i>(Any days from Monday to Friday)</i> <input type="checkbox"/> Monthly <sup>+</sup> <input type="checkbox"/> Quarterly <input type="checkbox"/> Half-Yearly <input type="checkbox"/> Yearly	<input type="checkbox"/> Daily <sup>++</sup> <input type="checkbox"/> Weekly <sup>##</sup> <i>(Any days from Monday to Friday)</i> <input type="checkbox"/> Monthly <sup>+</sup> <input type="checkbox"/> Quarterly <input type="checkbox"/> Half-Yearly <input type="checkbox"/> Yearly
SIP Installments (For Daily & Weekly frequency) <sup>###</sup>	_____	_____	_____
SIP Date <sup>+</sup>	<input type="checkbox"/> D <input type="checkbox"/> D	<input type="checkbox"/> D <input type="checkbox"/> D	<input type="checkbox"/> D <input type="checkbox"/> D
Enrolment Period <sup>**</sup> (MM/YY)	From <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y To <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y	From <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y To <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y	From <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y To <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y
SIP Amount	_____	_____	_____

### SIP TOP-UP (✓) Not available for Daily and Weekly SIP

Frequency	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly <sup>+</sup>	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly <sup>+</sup>	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly <sup>+</sup>
Amount	_____	_____	_____
Percentage <sup>s</sup> (%)	_____	_____	_____
SIP Top-Up Cap Amount or CAP Month-Year <sup>#</sup> :	<input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y	<input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y	<input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y

<sup>+</sup>Default, if not selected. • <sup>++</sup>Triggered and processed only on all Business Days and SIP TOP up facility shall not be available. • <sup>##</sup>Triggered and processed on the day opted by the investor. If the day opted falls on non-business day, it will be triggered and processed on the next business day. • <sup>###</sup>Number of installments have to be mentioned only in case of Daily/Weekly frequencies. • In case of Quarterly SIP, only the Yearly option is available as SIP Top-Up frequency. • <sup>+</sup>TOP UP amount has to be in multiples of Rs.100 only. • <sup>#</sup>The minimum TOP UP Percentage has to be 10% and in multiples of 1% thereafter, of the existing SIP installment. Investors/unit holders subscribing for this facility are required to submit the request at least 21 days prior to the SIP date. Top-up will be applicable from next effective SIP installment. • <sup>\*\*</sup>TOP-UP CAP amount • <sup>\*\*\*</sup>SIP tenure can be registered upto a maximum of 40 years • <sup>#</sup> TOP-UP CAP Month-Year

## ACKNOWLEDGEMENT SLIP FOR SIP (To be filled in by the Investor)

**HDFC MUTUAL FUND:** Head Office : HDFC House, 2nd Floor, H.T. Parekh Marg, 165-166, Backbay Reclamation, Churchgate, Mumbai - 400 020.

**DATE**  D  D  M  M  Y  Y  Y  Y

**FOLIO NO.**

Received from Mr. / Ms. \_\_\_\_\_

ISC Stamp & Signature

[For any queries please contact our nearest Investor Service Centre or call us at our Customer Service Number 1800 3010 6767 / 1800 419 7676 (Toll Free)]



e-mail us at: hello@hdfcfund.com



visit our website: www.hdfcfund.com



Missed Call Number - +91 85069 36767

## 2) INVESTMENT DETAILS FOR SIP<sup>s</sup> [Please tick (✓)] (Contd...)

Maximum amount of debit (SIP + Top-up) under direct debit facility for investors with bank accounts with State Bank of India shall not exceed Rs. 5,00,000/- per installment.

In case of SIP in multiple schemes, Cheque should be drawn in favour of "HDFC MF MULTI SIP COLLECTION A/C" and the cheque amount should match with the total SIP amount.

First SIP Transaction via Cheque No. \_\_\_\_\_ Cheque Dated 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 Amount (Rs.) \_\_\_\_\_

Mandatory Enclosure (if 1st Installment is not by cheque)  Blank cancelled cheque  Copy of cheque

The name of the first/ sole applicant must be pre-printed on the cheque.

### 3) BANK DETAILS

OTM Bank Details to be debited for the SIP (OTM already Registered)

Bank Name: \_\_\_\_\_ Account Number: \_\_\_\_\_

### 4) UNIT HOLDING OPTION DEMAT MODE\* (Enclose Latest Client Master / Demat Account Statement) PHYSICAL MODE (Default)

\*Demat Account details are mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL/CDSL)

NSDL	Depository Participant (DP) Name _____	DP ID	I	N	Beneficiary Account No. _____
CDSL	Depository Participant (DP) Name _____		Beneficiary Account No. _____		

### 5) DECLARATION AND SIGNATURE(S)<sup>s</sup>

I/ We hereby confirm and declare as under:-

I/ We have read, understood and agree to comply with the terms and conditions of the scheme related documents of the Scheme and the terms & conditions of enrolment for Systematic Investment Plan (SIP) and of NACH/ Debit Clearing / Direct Debit/ Standing Instruction facilities. I/ We hereby apply to the Trustees for enrolment under the SIP.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various mutual Funds from amongst which the Scheme is being recommended to me/us.

SIGNATURE (S)

First/ Sole Unit holder/ Guardian/ POA Holder

Second Unit holder

Third Unit holder

**Please note: Signature(s) should be as it appears in the folio/ on the Application Form and in the same order.**

**In case the mode of holding is joint, all Unit holders are required to sign.**

**#In case the OTM is not registered in the folio please submit duly filled and signed OTM form separately.**

### Terms and Conditions and Instructions

For detailed terms and conditions on SIP, including for OTM facility, please visit our website [www.hdfcfund.com](http://www.hdfcfund.com) and also refer to scheme related documents.

- Where a onetime mandate is already registered in a folio for a bank account, the Unit Holder(s) will have to fill only the SIP Registration Form and there is no need of a separate cheque to be given along with the SIP Registration Form.
- The total of all installments in a day should be less than or equal to the amount as mentioned in One Time Mandate already registered or submitted, if not registered.
- Where the mandate form and the SIP registration form are submitted together, debits for the SIP may happen only on successful registration of the mandate by the Unit holder(s) bank. The Fund / AMC would present the SIP transactions without waiting for the confirmation of the successful registration from the Unit holder(s) bank.
- In case the onetime mandate is successfully registered, new SIP registration will take upto five days. The first debit may happen any time thereafter, based on the dates opted by the Unit holder(s).
- While the Fund and RTA reserve the right to enhance the SIP period to ensure minimum installments as per respective scheme offer documents, even if the investor has submitted the form late or requested for a period less than minimum installments, they may reject the applications for less than minimum installments.
- If start date for SIP period is not specified, SIP will be registered to start anytime from a period after five days from the date of receipt of application based on the SIP date available / mentioned, subject to mandate being registered.
- In case both SIP end date and no. of installments are mentioned in the SIP application for daily & weekly frequency then SIP shall get registered as per the no. of installments provided.
- If any time during the SIP period, the onetime mandate is to be modified to reduce the validity period which is more than SIP end period registered through OTM, investor should first cancel the SIP and thereafter modify the OTM end period.
- In case of Micro SIP application without PAN, the investor/s hereby declare that they do not have any existing Micro SIPs with HDFC Mutual Fund which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year.
- In case the selected date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next business day/date.
- For SIPs through OTM, the maximum per installment amount after Top-Up shall not exceed Rs. 5 lakhs or the maximum amount mentioned in OTM form, whichever is less.
- The Top-up details cannot be modified once enrolled. In order to make any changes, the investor needs to cancel the existing SIP and enroll for a fresh SIP with Top-up option.
- HDFC Mutual Fund or the AMC, its registrars and other service providers are not responsible if the registration and subsequent transaction are delayed or not effected or the investor's bank account is debited in advance or after the specific SIP date due to local holidays or any other reason.
- Investors are deemed to have read and understood the terms and conditions of OTM Facility and SIP facility in the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of HDFC Mutual Fund.

S No.	SIP Interval	No. of failed debit attempts prior to cancellation of SIP
1.	Daily	3
2.	Weekly, Fortnightly	3
3.	Monthly	3
4.	Bi-monthly, Quarterly or Longer interval SIPs	2

The AMC will send a communication to investor after 1st failed debit attempt, mentioning that the SIP will cease in case of 3 consecutive rejections and another communication after cancellation of SIP intimating the cancellation to the investor.

- SIP cancellation request will be effective within 10 calendar days from the submission of such request by the investor.
- When an investor submits request for cancellation of SIP, all AMCs shall ensure that there is cancellation of auto-debit / SIP within 10 calendar days of such request placed by the investor.

### ACKNOWLEDGEMENT SLIP FOR SIP (To be filled in by the Investor)

Scheme / Plan / Option	Scheme 1
	Scheme 2
	Scheme 3

[For any queries please contact our nearest Investor Service Centre or call us at our Customer Service Number 1800 3010 6767 / 1800 419 7676 (Toll Free)]

 e-mail us at: [hello@hdfcfund.com](mailto:hello@hdfcfund.com)

or



visit our website: [www.hdfcfund.com](http://www.hdfcfund.com)

 Missed Call Number - +91 85069 36767

## OTM Debit Mandate Form NACH/DIRECT DEBIT/SI

[Applicable for Lumpsum Additional Purchases as well as SIP Registrations received through various modes]



UMRN	OFFICE USE ONLY	Date	D	D	M	M	Y	Y	Y	Y	
Utility Code	OFFICE USE ONLY	<input type="checkbox"/> Create	<input type="checkbox"/> Modify	<input type="checkbox"/> Cancel							
Sponsor Bank Code	OFFICE USE ONLY	I/We authorize <b>HDFC Mutual Fund</b>									
To debit (tick✓)	SB/CA/CC/SB-NRE/SB-NRO/OTHER	Bank A/c number									
With Bank						IFSC/MICR					
an amount of Rupees						₹					

**Debit Type**  Fixed Amount  Maximum Amount      **Frequency**  Monthly  Quarterly  Half Yearly  Yearly  As & when presented

PAN/PEKRN  Reference 2

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me.  
3. I have understood that I am authorised to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the user entity/ corporate or the bank where I have authorized the debit.

From	D	D	M	M	Y	Y	Y	Y
To	D	D	M	M	Y	Y	Y	Y

**Maximum period of validity of this mandate is 40 years only.**

1. Name as in Bank Records 2. Name as in Bank Records 3. Name as in Bank Records

Phone No.

